for the month of 31-JAN-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 12-FEB-2021 18:23:17

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
		run nupee	11d Jub chicireb	varue
1	Assets			
1.1	Property & Equipment	106,985	106,985	
	Intangible Assets	2,500,000	2,500,000	
	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities  i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.  iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
	or any other entity.	2,200,000	2,200,000	
	Margin deposits with exchange and clearing house.	19,000,000	0	19,000,000
	Deposit with authorized intermediary against borrowed securities under SLB.  Other deposits and prepayments	7,397,159	7,397,159	
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,397,139	7,397,139	
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	153,500	0	153,500
	ii. Receivables other than trade receivables	35,800,000	35,800,000	
	Receivables from clearing house or securities exchange(s)	30,000,000	30,000,000	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
	Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	240.040	0	040.040
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	240,942	U	240,942
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	739,637	507,107	507,107
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	4,874,305	0	
	ii. Bank balance-customer accounts	6,778,206		
	iii. Cash in hand	9,387	0	9,387

for the month of 31-JAN-21

of M/s. Prime Securities (Pvt.) Ltd.

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1.19   Total Assets   79,850,121   48,561,251   31,563,447     2	a .v.	The And Advantage	****		N
Labelities	S.No.	Head of Account	Value in Pak Rupee		Net Adjusted Value
Librations   Payables   Favorables   Favorable   Fav	1.19	Total Assets	79,850,121	48,561,251	31,563,447
Expedition to exchange and clearing about 1	2				
In Pryaptic Against Investories   6,778,208   0   6,779,208	2.1	Trade Payables			
ii Pergette für cautomas  2 Current (Labilities  3 Annitrosy vino regulation duses  3 Current portion of subcutriscide borse  4 Current portion of subcutriscide borse  5 Current portion of subcutriscide borse  6 Current portion of subcutriscide borse  7 Current portion of subcutriscide borse  7 Current portion of subcutriscide borse  8 Current portion of subcutriscide borse  9 Current portion of subcutriscide borse  1 Provision for state dicht  2 Provision for state dicht  3 Provision for state dicht  4 Provision for state dicht  4 Provision for state dicht  5 Provision for state dicht  6 Provision for state state dicht state of the state of					
Current Liabilities			6,778,206	0	6,778,206
Statutory and requisitory dues   274.008   0 275.008   1	2.2				
ii. Short- term becovering  V. Current position of subdefined of boars  V. Current position of tong term indibitions  v. Current position for the property of trong term indibitions  vi. Provide for the statistics  vi. Provide for the statistics  v. Current substitutes as no accounting principles and included in the financial statements  v. Current substitutes as a constitution of the statistics of the sta					
No. Current pottion of subscriptions   No. Current pottion of subscriptions   No. Current pottion of subscriptions   No. Current liabilities   No.			274,026	0	274,026
v. Current portion of long term liabilities vi. Portion for bad debts v. Defreed Liabilities vi. Provision for bad debts v. Other Liabilities vi. Provision for bad debts v. Other Liabilities vi. Non-Current Liabilities vi. Long-Jerm financing a Long-Term financing debtanced from financial institution Long term protion of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from a financial institution including amount due against financia legislation of financing obtained from expect of advance against sheets for incease in Capital in view of the financial institution of the company has approved the incease in capital blood of processor of the company has approved the incease in capital close to the normal processor of the company has approved the incease in capital dilegislation of the inceases in paid up capital have been completed.  Processor of the normal processor of the company has approved the incease in capital view of the normal processor of the processor of the normal processor of the financial statements view of the normal provides that 300% hashard the long-debt of the financial statements view of the conditions of the provides financial statements view of the provides that 300% hashard the long-debt of the situation of the financial statement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 mounts					
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Will, Provision for traxistion		vi. Deferred Liabilities			
X. Other liabilities as per accounting principles and included in the financial statements   X. Non-Current Liabilities   X. Long - Term financing obtained from financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance lease   X. Other long-term financial institution including amount due against finance and all institution and a line against state of the company has approved the increase in capital   X. Other long-term finance in paid uo capital have been completed   X. Other labilities and per lapid uo capital have been completed   X. Other labilities and per lapid uo capital have been completed   X. Other labilities and per lapid uo capital have been completed   X. Other labilities and reaccounting principles and included in the financial statements   X. Other labilities are accounting principles and included in the financial statements   X. Other labilities are accounting principles and included in the financial statements   X. Other labilities provide them should be adjusted against subordinated class which fulfill the schedule ill provide them should be adjusted against subordinated class which fulfill the capital and serviced liquid class which fulfill the capital and serviced liquid class which fulfill the capital and serviced liquid class which are against a subordinated class which are against a subordinated loans which do not fulfill the conditions specified by SCP   X. Other labilities selecting to   X. Other labilities selecting to   X. Other labilities selecting to   X. Other labilities se					
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Long-Term financing   a Long-Term financing obtained from financial institution: Long term portion of financial institution including amount due against finance lease   D. Other Long-Term financing   I. Staff retirement benefits   II. Advance against stances for Increase in Capital of Securities broker: 100% halicut may be allowed in respect of advance against stances for Increase in Capital allows the proposed enhanced state capital   be add of Directors of the company has approved the increase in capital   c. Relevant Regulatory approvals have been obtained   d. There is no unreasonable deday in issue of shares against advance and all regulatory requirements relating to the Increase in paid to capital have been completed.   c. Auditor is subfield that such advance is against the increase of capital.   v. Other lobblishes as per accounting principles and included in the relation of the increase in adjust the increase of against the increase of again	2.3				
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ii. Staff retirement benefits iii. Advance against shares for increase in Capital of Securities broker: 100% halicut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approved have been obtained relevant Regulatory approved have been obtained relevant Regulatory approved have been obtained relevant in increase in paid up capital have been completed. e. Auditor is staffed that such advance and an advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is staffed that such advance and an included in the financial statements.  2.4 Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deuted: the Schedule Ill provides that 100% hastory will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period.  D. No hastory will be allowed against short that the protion which is repayable within next 12 months.  Constitution in Auditory of the page and an advance and the stage and th		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
iii. Advance against shares for increase in Capital of Securities roker. 100% halrout may be allowed in tespect of advance against shares if.  a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. i. Vother liabilities as per accounting principles and included in the financial statements  Subordinated Loans 1.00% of Subordinated loans which fulfill the conditions pecified by SECP are allowed to be deducted. The Schedule III provides that 100% halrout will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. II. Subordinated loans which do not furfill the conditions specified by SECP  2.5 Total Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total financees.  3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees.  3.3 Net underwriting Commitments (a) In which the loads of the market value of securities is less than or equal to the subscription price, 5% of the Halford the underwriting commitments and (iii) the value by which the underwriting commitments and (iii) In which the underwriting c					
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b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. jv. Other liabilities as per accounting principles and included in the financial statements l. 100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule III provides that 100% haircut will be allowed against subordinated cloans which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period after 12 months of reporting period after 12 months of reporting period c. In case of early repayment of form, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP  2.5 Total Liabilities  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  7.724,047  8. Ranking Liabilities Relating to:  Concentration in Margin Financing The amount power of the aggregate of amounts receivable from total financess.  Concentration in securities lending and borrowing The amount by which the aggregate of:  (1) Amount deposited by the borrower with NCCPL (2) Amount deposited by the borrower with NCCPL (3) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed  1.3 Net underwriting Commitments (2) In the case of right issues where the market price of securities is less than or equal to the subscription price the aggregate of: (3) The market value of securities is less than or equal to the subscription price the		respect of advance against shares if:			
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3.6 Amount Payable under REPO					
3.7 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

for the month of 31-JAN-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 12-FEB-2021 18:23:17

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	72,126,074	48,561,251	23,839,400

for the month of 28-FEB-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 15-MAR-2021 09:09:10

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities			
1.4	Investment in Debt. Securities  If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities  i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value.  Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
	or any other entity.			
1.9	Margin deposits with exchange and clearing house.  Deposit with authorized intermediary against borrowed securities under SLB.	19,000,000	0	19,000,000
1.10	Other deposits and prepayments	740,732	740,732	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NiI)	,	,	
1 12	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	153,500	0	153,500
1.15	n. short term coarrio cripioyees. coaris are secured and ode for repayment within 12 months	155,500	U	155,500
11/	ii. Receivables other than trade receivables	35,800,000	35,800,000	
1.16	Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	243,712	0	243,712
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	70,797	0	70,797
	iv. Balance sheet value			-, -
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	412,590	202,317	202,317
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.			
1.10	Cash and Bank balances i. Bank Balance-proprietory accounts	5,711,009	0	5,711,009
	ii. Bank balance-customer accounts	20,566,782	0	20,566,782
	iii. Cash in hand	25,187	0	25,187

for the month of 28-FEB-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 15-MAR-2021 09:09:10

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	87,581,294	41,600,034	45,973,304
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	20,566,782	0	20,566,782
2.2	Current Liabilities	20,300,782	U	20,300,762
	i. Statutory and regulatory dues	0	0	
	ii. Accruals and other payables	222 727		
	iii. Short-term borrowings iv. Current portion of subordinated loans	223,735	0	223,735
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	20,790,517	0	20,790,517
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.  Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case : 12.5% of the net underwriting commitments  Negative equity of subsidiary			
5.7	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency  Amount Payable under REPO			
3.6	Repo adjustment			
	nopo adjustment			

for the month of 28-FEB-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 15-MAR-2021 09:09:10

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	66,790,777	41,600,034	25,182,787

for the month of **31-MAR-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 13-APR-2021 11:28:57

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets Investment in Govt. Securities	2,500,000	2,500,000	
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
1.9	or any other entity.  Margin deposits with exchange and clearing house.	19,000,000	0	19,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			10,000,000
1.11	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,414,478	7,414,478	
1.12	etc.(Nil)			
1.10	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.  Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	151,500	0	151,500
1.15	n. short term coarrio employees, coaris ale seculeu and bue for lepayment within 12 months	131,300	O	131,300
	ii. Receivables other than trade receivables	35,800,000	35,800,000	
1.16	Receivables from clearing house or securities exchange(s)			
	<ol> <li>100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.</li> </ol>			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	156,949	0	156,949
	iv. Balance sheet value	4 000 000	474 000	474 200
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	1,030,323	471,368	471,368
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances			
	i. Bank Balance-proprietory accounts	6,381,617	0	6,381,617
	ii. Bank balance-customer accounts	11,382,737	0	11,382,737
	iii. Cash in hand	42,632	0	42,632

for the month of 31-MAR-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 13-APR-2021 11:28:57

Total Assets   86,217,221   48,542,831	37,586,803 1,206,136 11,432,561 142,354
2.1 Trade Payables I. Payable to exchanges and clearing house I. Payable to exchanges and clearing house II. Payable to customers III. Accruals and other payables III. Accruals and other payables IIII. Short-term borrowings III. Accruals and other payables IIII. Short-term borrowings III. Provision for long term liabilities III. Provision for bad debts III. Provision for bad debts III. Provision for taxation III. Pr	11,432,561
I. Payable to exchanges and clearing house  ii. Payable to exchanges and clearing house  ii. Payable to customers  11,432,561  0  2.2 Current Liabilities  I. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings  II. Accruals and other payables iii. Short-term borrowings  II. Current portion of subordinated loans  V. Current portion of subordinated loans  V. Current portion of long term liabilities VI. Deferred Liabilities VII. Provision for bad debts VIII. Provision for bad debts VIII. Provision for bad debts VIII. Provision for taxation IX. Other liabilities as per accounting principles and included in the financial statements  1. Long-Term financing II. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease  II. Long-term financing II. Staff retirement benefits III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  II. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  II. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:  III. Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of	11,432,561
iii. Payable against leveraged market products iii. Payable to customers  Current Liabilities  I. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for bad debts viii. Provision for faxation ix. Other liabilities as per accounting principles and included in the financial statements  2.3 Non-Current Liabilities i. Long-Term financing a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in papital use of shares against advance and all regulatory requirements relating to the increase in papital use been completed. e. Auditor is satisfied that such advance is against the increase of capital.	11,432,561
III. Payable to customers	
2.2 Current Liabilities  i. Statutory and regulatory dues ii. Accruals and other payables iii. Short-term borrowings iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vi. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements  2.3 Non-Current Liabilities i. Long-Term financing a. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.	
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ii. Accruals and other payables iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vii. Provision for bad debts viii. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements  2.3 Non-Current Liabilities i. Long-Term financing a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares fif: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.	142,354
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iv. Other liabilities as per accounting principles and included in the financial statements	
2.4 Subordinated Loans	
i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	
The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the	
conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid	
after 12 months of reporting period	
b. No haircut will be allowed against short term portion which is repayable within next 12 months.	
c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid	
Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP	
ii. Subsidiffaced loans which do not runiii the conditions specified by SECI	
2.5 Total Liabilities 12,781,051 0	12,781,051
Ranking Liabilities Relating to:	
3.1 Concentration in Margin Financing	
The amount calculated client-to- client basis by which any amount receivable from any of the	
financees exceed 10% of the aggregate of amounts receivable from total financees.	
3.2 Concentration in securites lending and borrowing	
The amount by which the aggregate of:	
(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and	
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares	
borrowed	
3.3 Net underwriting Commitments	
(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	
price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and	
(ii) the value by which the underwriting commitments exceeds the market price of the securities.	
In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of	
the Haircut multiplied by the net underwriting	
(b) in any other case: 12.5% of the net underwriting commitments  3.4 Negative equity of subsidiary	
3.4 Negative equity of subsidiary  The amount by which the total assets of the subsidiary ( excluding any amount due from the subsidiary)	
exceed the total liabilities of the subsidiary	
3.5 Foreign exchange agreements and foreign currency positions	
5% of the net position in foreign currency. Net position in foreign currency means the difference of total	
assets denominated in foreign cuurency less total liabilities denominated in foreign currency	
3.6 Amount Payable under REPO	
3.7 Repo adjustment	

for the month of 31-MAR-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 13-APR-2021 11:28:57

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securities.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security			
3.7	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	73,436,170	48,542,831	24,805,752

for the month of 30-APR-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 17-MAY-2021 17:20:19

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.  iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking  i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value.	0.050.000	0.050.000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	2,250,000	2,250,000	
1.9	Margin deposits with exchange and clearing house.	19,000,000	0	19,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.  Other deposits and prepayments	7,420,935	7,420,935	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,420,933	7,420,900	
	etc.(NiI) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.  Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	147,500	0	147,500
	ii. Receivables other than trade receivables	35,800,000	35,800,000	
1.16	Receivables from clearing house or securities exchange(s)	33,333,333	30,000,000	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	20,309	0	20,309
	iv. Balance sheet value	650,000	200 004	200 004
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	659,398	290,981	290,981
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	6,213,305	0	
	ii. Bank balance-customer accounts iii. Cash in hand	21,734,438 52,772		
	iii. Casi iii iidilu	52,172	U	52,112

for the month of 30-APR-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 17-MAY-2021 17:20:19

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1.19	Total Assets	95,905,642	48,368,901	47,459,305
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	727,841	0	727,841
	ii. Payable against leveraged market products iii. Payable to customers	21,734,438	0	21,734,438
2.2	Current Liabilities	, ,		, , ,
	i. Statutory and regulatory dues			
	ii. Accruals and other payables iii. Short-term borrowings	138,021	0	138,021
	iv. Current portion of subordinated loans	,	·	
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements  Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	22,600,300	0	22,600,300
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
2.5	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 30-APR-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 17-MAY-2021 17:20:19

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	73,305,342	48,368,901	24,859,005

for the month of **31-MAY-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 15-JUN-2021 16:46:22

g 27.5	The Alas Annuals	********	Hair Cut/	Not Adjusted
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
				V.3.2.0.0
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
	Investment in Govt. Securities			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities  i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.  iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
	or any other entity.	2,200,000	2,230,000	
	Margin deposits with exchange and clearing house.	19,000,000	0	19,000,000
	Deposit with authorized intermediary against borrowed securities under SLB.	7 424 704	7 424 704	
	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,434,704	7,434,704	
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	147,500	0	147,500
	ii. Receivables other than trade receivables	34,600,000	34,600,000	
	Receivables from clearing house or securities exchange(s)	34,000,000	34,000,000	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	1,027,341	0	1,027,341
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	1,147,561	913,362	913,362
	value of securities purchased for customers and held in sub-accounts after applying VAR based	1,,501	3.3,302	3.3,302
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
	vi. 100% naticul in the case of amount receivable form related parties.  Cash and Bank balances			
	i. Bank Balance-proprietory accounts	5,222,282	0	5,222,282
	ii. Bank balance-customer accounts	6,974,737	0	6,974,737
	iii. Cash in hand	49,512	0	49,512

for the month of **31-MAY-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 15-JUN-2021 16:46:22

			Page	2 01 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	80,460,622	47,805,051	33,334,734
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	1,102,443	0	1,102,443
	ii. Payable against leveraged market products	6,974,737	0	6.074.727
2.2	iii. Payable to customers  Current Liabilities	6,974,737	U	6,974,737
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	138,021	0	138,021
	iii. Short-term borrowings iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans  1.100% of Subordinated Isoans which fulfill the conditions are diffied by SECP are allowed to be deducted.			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	8,215,201	0	8,215,201
3	Ranking Liabilities Relating to :	-, -, -		2, 2, 2
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			
	· · · ·			

for the month of 31-MAY-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 15-JUN-2021 16:46:22

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	72,245,421	47,805,051	25,119,533

for the month of 30-JUN-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 14-JUL-2021 10:22:17

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities			
1.0	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
1.9	or any other entity.  Margin deposits with exchange and clearing house.	19,000,000	0	19,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	13,000,000	U	10,000,000
1.11	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,444,151	7,444,151	
1.12	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.  Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	147 500	0	147 500
1.15	n. short term Loan to Employees: Loans are secured and Due to repayment within 12 months	147,500	0	147,500
	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, jii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	125,079	0	125,079
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	585,421	197,034	197,034
	value of securities purchased for customers and held in sub-accounts after applying VAR based	505,421	197,034	197,034
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	6,938,003	0	
	ii. Bank balance-customer accounts iii. Cash in hand	6,627,554	0	
	III. Casi i i i i di lu	40,812	0	40,812

for the month of 30-JUN-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 14-JUL-2021 10:22:17

s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	79,765,505	46,498,170	33,075,982
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	1,195,390	0	1,195,390
	ii. Payable against leveraged market products iii. Payable to customers	6,582,544	0	6,582,544
2.2	Current Liabilities	0,002,044	0	0,002,044
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	374,776	0	374,776
	iii. Short-term borrowings iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	in substitution to the familiant of containing specified by see			
2.5	Total Liabilites	8,152,710	0	8,152,710
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(II) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
J.1	Repo adjustment			

for the month of 30-JUN-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 14-JUL-2021 10:22:17

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financeekseller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after			
3.8	applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security  Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
3.11	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.  Total Ranking Liabilites	0	0	
3.12	Liquid Capital	71,612,795	46,498,170	24,923,272

for the month of 31-JUL-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 09-AUG-2021 16:50:09

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking  i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.0	ii. If unlisted, 100% of net value.	0.050.000	0.050.000	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	2,250,000	2,250,000	
1.9	Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.  Other deposits and prepayments	7,444,151	7,444,151	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)	7,111,101	7,771,101	
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	142,500	0	142,500
	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	13,847	0	13,847
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, jii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	261,844	0	261,844
	iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	401,612	192,246	192,246
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of		, ,	
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances			
	i. Bank Balance-proprietory accounts	5,054,174	0	
	ii. Bank balance-customer accounts	24,726,050		
	iii. Cash in hand	37,972	0	37,972

for the month of 31-JUL-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 09-AUG-2021 16:50:09

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	96,939,135	46,493,382	50,428,633
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	24,726,050	0	24,726,050
2.2	Current Liabilities	24,720,000	J	24,720,000
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	483,292	0	483,292
	iii. Short-term borrowings iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	III. Subbidinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	25,209,342	0	25,209,342
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
2.2	borrowed			
3.3	Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
2.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 31-JUL-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 09-AUG-2021 16:50:09

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selli positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	71,729,793	46,493,382	25,219,291

for the month of 31-AUG-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 09-SEP-2021 09:04:47

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	2,250,000	2,250,000	
1.9	Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	7 450 074	7 450 074	
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	7,458,871	7,458,871	
1.10	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.  Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	142,500	0	142,500
	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.16	Receivables from clearing house or securities exchange(s)			
	<ol> <li>100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.</li> </ol>			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	414,016	0	414,016
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	1,478,011	1,268,620	1,268,620
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances	2 422 :::	-	2 422 415
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	3,482,410 23,008,097	0	3,482,410 23,008,097
	iii. Cash in hand	45,702	0	

for the month of 31-AUG-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 09-SEP-2021 09:04:47

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
S.NO.	nead of Account	Pak Rupee	Adjustments	Value
1.19	Total Assets	94,886,592	47,584,476	48,361,345
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products	23,755	0	23,755
	iii. Payable against levelaged market products	23,008,098	0	23,008,098
2.2	Current Liabilities			
	i. Statutory and regulatory dues	252 500	0	252 500
	ii. Accruals and other payables iii. Short-term borrowings	252,509	0	252,509
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements  Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
0.5		00.004.000		00.004.000
2.5	Total Liabilites	23,284,362	0	23,284,362
3.1	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting  (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
2.5	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
J. /	Repo adjustment			

for the month of 31-AUG-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 09-SEP-2021 09:04:47

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
3.9	the value of such security  Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	05.070.000
3.12	Liquid Capital	71,602,230	47,584,476	25,076,983

for the month of 30-SEP-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 13-OCT-2021 10:17:22

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	106,985	106,985	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than: i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tendre up to 1 year.			
4.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities  i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.1	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value.  Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
1.0	or any other entity.	2,230,000	2,230,000	
	Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
	Deposit with authorized intermediary against borrowed securities under SLB.  Other deposits and prepayments	7,466,592	7,466,592	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NiI)	.,,	.,,	
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	142,500	0	142,500
	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	237,604	0	237,604
	iv. Balance sheet value	·		
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	732,821	523,336	523,336
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances			
	i. Bank Balance-proprietory accounts	4,311,020	0	4,311,020
	ii. Bank balance-customer accounts	9,966,990	0	9,966,990
	iii. Cash in hand	39,202	0	39,202

for the month of 30-SEP-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 13-OCT-2021 10:17:22

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	81,753,714	46,846,913	35,220,652
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	17,454	0	17,454
	ii. Payable against leveraged market products iii. Payable to customers	9,966,990	0	9,966,990
	Current Liabilities	0,000,000		0,000,000
	i. Statutory and regulatory dues			
	ii. Accruals and other payables	57,481	0	57,481
	iii. Short-term borrowings iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.  iv. Other liabilities as per accounting principles and included in the financial statements			
	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	Total Liabilites	10,041,925	0	10,041,925
	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
<del></del>	financees exceed 10% of the aggregate of amounts receivable from total financees.			
1	Concentration in securites lending and borrowing  The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(Ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions			
1 1	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
	Amount Payable under REPO			
3.7	Repo adjustment			1

for the month of 30-SEP-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 13-OCT-2021 10:17:22

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	71,711,789	46,846,913	25,178,727

for the month of **31-OCT-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 12-NOV-2021 10:42:40

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	90,828	90,828	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
1.9	or any other entity.  Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	, ,		
1.11	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	8,232,687	8,232,687	
1.12	etc.(Nil)			
1 12	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.13	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	142,500	0	142,500
1.10		·	· ·	1 12,000
1.16	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.10	Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1 17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	4,132	0	4,132
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	741,303	540,831	540,831
	value of securities purchased for customers and held in sub-accounts after applying VAR based	,,,,,	,,	,,,,,,,
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	3,922,743 23,469,236	0	3,922,743 23,469,236
	iii. Cash in hand	23,469,236	0	23,469,236

for the month of **31-OCT-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 12-NOV-2021 10:42:40

Sale Of Access   Sale Adjustment   Sale Adjust					
Long-term fraction of the process	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Todae Paywallers	1.19	Total Assets	95,378,141	47,614,346	48,104,154
Engable to exchange and closing house   31,202   0   31,202     Engable to customes   23,49,27   0   23,49,27     Current Lishtillies   23,49,27   0   23,49,27     Current Lishtillies   23,49,27   0   23,49,27     Engable to customes   23,49,27   0   23,49,27     Engable to the provides   23,49,27   0   23,49,27     Engable to the provides   23,49,27   0   23,49,27     Engable to the provides   24,49,27   24,49,27   24,49,27     Engable to the provides   24,49,27   24,49,27   24,49,27   24,49,27     Engable to the provides   24,49,27   24	2	Liabilities			
Pageotic against leveraged market products   23,469,237   0, 20,469,237   0,	2.1	Trade Payables			
ii. Projects to countries  2.3.489,237  2. Current Liabilities  3. Security and equilatory dues  3. Security of the project of			312,302	0	312,302
Current Liabilities			22.460.227	0	22.460.227
Saturbay and regulatory dues   0,650	2.2		23,469,237	U	23,469,237
Executars and other payables   66,500   0   65,000					
No. Current portion of subministrated loans   V. Current subministrated   V. Current subm		ii. Accruals and other payables	66,590	0	66,590
v. Current portion of long term liabilities vi. Potroles for late discless vi. Long-term financing vi. Long-term financing vi. Potroles for late discless vi. Potroles for late discless vi. Potroles for late discless vi. Potroles for late vi. Potroles for late vi. Potroles vi. Vi. Potroles for late vi. Potroles for la					
vi. Defened Liabilities vi. Provision for baid debts vii. Provision for baid vii. Provision for vii. Provision for since the provision for since the provision of the pro					
Will. Prevision for traination		vi. Deferred Liabilities			
No. Other liabilities as per accounting principles and included in the financial statements					
Non-Current Liabilities   Long-Jerm financing   a Long-Jerm financing obtained from financial institution including amount due against finance lease   b. Other long-term financing   b.					
Long-Term financing   a Long-Term financing obtained from financial institution. Long term partion of financing obtained from a financial institution including amount due against finance lease   D. Other florancing manual due against finance lease   D. Other florancing manual florancing   I. Staff retirement benefits   II. Advance against shares for inclusive in proposed enhanced share capital   respect of advance against shares for inclusive in proposed enhanced share capital   the proposed of Directors of the company has approved the increase in capital   D. Boa of of Directors of the company has approved the increase in capital   C. Relevant Regulatory approvab have been obtained   D. Relevant Regulatory approvab have been obtained   D. Relevant Regulatory approvab have been completed.   D. Auditor is staffed that such advance is of shares against advance and all regulatory requirements   Relevant Regulatory approvab have been completed.   D. Auditor is staffed that such advance is of shares against advance and all regulatory requirements   Relevant Regulatory is staffed that such advance is against the increase in capital   D. Auditor is staffed that such advance is against the increase in capital   D. Auditor is staffed that such advance is against the increase in capital   D. Auditor is staffed that such advance is against the increase in capital   D. Auditor is staffed that such advance is against the increase in capital   D. Auditor is staffed that such advances in a discovered against advance and all regulatory requirements   D. Auditor is staffed that such advances in a discovered against advance and all regulatory requirements   D. Auditor is staffed that such advances in a discovered against advance and all regulatory requirements   D. Auditor is staffed that such advances in a discovered against advances and all regulatory requirements   D. Auditor is staffed that such advances in a discovered against advances in a discovered against advances in a discovered against advances in a discovered again	2.3				
a Long-Term financing obtained from financial institution Long term portion of financing obtained from a financial institution functuding amount due against finance lease  b. Other long-term financing I. Staff religherent Deemits Inspect of a demonstration processe in Capital of Securities broker 10% halicut may be allowed in impact of a demonstration and processes in Capital of Securities and the capital inspect of a demonstration and processes in Capital of Securities and Capital of Securities in the Capital of Securities of Securities and Capital of Securities of Securities of Securities of Securities and Capital of Securities of Securities of Securities and Capital of Securities of Securities and Capital Office of Securities and Capital Office of Securities and Capital of Securities and Capital of Securities					
III Staff retirement benefits   III Advance against shares for Increase in Capital of Securities broker 100% halicut may be allowed in respect of advance against shares if:   III as the existing authorized share capital allows the proposed enhanced share capital   III as the existing authorized share capital allows the proposed enhanced share capital   III as the existing authorized   III as the existing the proposed of the company has approved the increase in capital   III as the existing the increase in paid up capital have been completed   III as the existing the increase in paid up capital have been completed   III as the existing that such advance as against the increase of capital   III as the existing that such advance is against the increase of capital   III as the existing that such advance is against the increase of capital   III as the existing that is the existing that it is the financial statements   III as the existing that the existing that the existing the existing that the existing the existing that the existing the existing that the existing that the existing that the existing the existing that the existing that the existing that the existing the existing the existing the existing the existing that the existing the existing the existing that the existing the existing the existing the existing that the existing the existing the existing the existing the exis		a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
iii Advance against shares for increase in Capital of Securities troker. 100% halrout may be allowed in respect of advance against shares if:  a. Ihe existing authorized share capital allows the proposed enhanced share capital b. Boad of Disectors of the company has approved the increase in capital c. Relewant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 2. Auditor is staffed that such edwance is against in the release of capital. 3. Auditor is staffed that such edwance is against in the release of capital. 4. Auditor is staffed that such edwance is against in the release of capital. 5. Subordinated Loans 1. 100% of Subordinated Loans which fulfill the conditions period by SECP are allowed to be deducted. 1. 100% of Subordinated Loans such conditions are specified by SECP as allowed to be deducted. 1. 100% of Subordinated Loans Subordinated Loans which fulfill the conditions specified by SECP in this regard reflowing conditions are specified. 2. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period 2. In Cases of early respecting period 3. No haricut will be allowed against short term portion which is repayable within next 12 months. 3. Can case of early respecting period 3. Subordinated loans which do not fulfill the conditions specified by SECP 3. Total Liabilities 3. Ranking Liabilities Relating to: 3. Cancentration in Margin Financing 3. Concentration in Margin Financing 3. Concentration in Margin Financing 3. Concentration in securities lending and borrowing 3. The amount of the aggregate of amounts receivable from total financees. 3. Not underwind the aggregate of a mount securities lending and borrowing 3. The manunt by which the gaggeste of securities is less than or equal to the subscription price: the aggregate of the properties of the subsidiary of the subscription					
lespect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed politic of the increase in paid up capital have been completed capital. w. Other liabilities as per accounting principles and included in the financial statements which are the conditionable of the conditions specified by SECP are allowed to be deducted: The Schedule Ill provides that 100% haircut will be allowed against submitch fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of foan, adjustment shall be made to the liquid Capital and revised Liquid Carpital statement must be submitted to exchange.  2.5 fortal Liabilities  2.3 Ranking Liabilities Relating to .  3.1 Concentration in Margin Financing The amount calculated clean-to-client basis by which any amount receivable from any of the The amount calculated clean-to-client basis by which any amount receivable from any of the The amount calculated clean-to-client basis by which any amount receivable from any of the The amount allowed against submit to a stamp and succeivable from total financees.  Concentration in securities lending and borrowing The amount by which the suggregate of the submitting commitments and (ii) the waiter value of securities pledged as margins exceed the 110% of the market value of shares borrowed  3.3 Net underwriting Commitments (ii) the Six of the subscription price; the aggregate of the subscription price; the aggregate of					
b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. v. Other liabilities as per accounting principles and included in the financial statements l. 100% of Subordinated Loans l. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted. The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP in this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period after 12 months of reporting period after 12 months of reporting period c. In case of early repayment of foan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. i. Subordinated loans which do not fulfill the conditions specified by SECP  25 Total Liabilities 23.848,129 23.848,129 23.848,129 24.848,129 25.848,129 26.858,128 27.859,128 28.869,128 29.869,128 20.					
c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements.  2.4 Subordinated Loans 1. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule Ill provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. in case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be excurring to exchange. ii. subordinated loans which do not fulfill the conditions specified by SECP  2.5 Total Liabilities  23,848,129  0 23,848,129  23,848,129  0 23,848,129  23,848,129  0 23,848,129  24,848,129  0 23,848,129  25 Concentration in Margin Financing The amount calculated client-1o- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.  2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees.  3 Concentration in Securities Inding and borrowing The amount by which the aggregate of amounts receivable from total financees.  3 Net underwriting Commitments (ii) the market value of securities ledged as margins exceed the 110% of the market value of shares borrowed  3 Net underwriting Commitments (ii) the market value of securities less than or equal to the subscription price, 5% of the first cut					
d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increases in paid up capital have been completed.  e. Auditor is satisfied that such advance is against the increase of capital.  iv. Other liabilities as per accounting principles and included in the financial statements.  1. 1096 of Subordinated Loans  1. 1096 of Subordinated loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against subordinated Loans which furfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. in case of early reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. in case of early reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. in case of early reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. in case of early reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. in case of early reporting period on the subscription of the subscription in second term of the farmaches.  2. Total Liabilities  3. No lateral client-to-client basis by which any amount receivable from any of the finances.  3. No lateral client-to-client basis by which any amount receivable from any of the finances.  4. No lateral client-to-client basis by which any amount receivable from staff finances.  5. Oncentration in securities lending and borrowing have acco					
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the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments  3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary (excluding any amount due from the subsidiary (excluding any amount due from the subsidiary (excluding an					
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The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary  3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency  3.6 Amount Payable under REPO		<u> </u>			
exceed the total liabilities of the subsidiary  3.5 Foreign exchange agreements and foreign currency positions  5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency  3.6 Amount Payable under REPO	3.4				
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency  3.6 Amount Payable under REPO	3 5	exceed the total liabilities of the subsidiary			
assets denominated in foreign cuurency less total liabilities denominated in foreign currency  3.6 Amount Payable under REPO	3.5				
3.6 Amount Payable under REPO					
3.7 Repo adjustment		Amount Payable under REPO			
	3.7	Repo adjustment			

for the month of **31-OCT-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 12-NOV-2021 10:42:40

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	0.1.050.005
3.12	Liquid Capital	71,530,012	47,614,346	24,256,025

for the month of 30-NOV-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 14-DEC-2021 09:41:50

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	90,828	90,828	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.  Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
1.9	or any other entity.  Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	20,000,000	0	20,000,000
1.11	Other deposits and prepayments	8,305,989	8,305,989	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.  Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)	407.500	0	407.500
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	167,500	0	167,500
	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.16	Receivables from clearing house or securities exchange(s)			
	<ol> <li>100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.</li> </ol>			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	1,151,479	0	1,151,479
	iv. Balance sheet value		070 075	
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	473,566	272,978	272,978
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances	+		
	i. Bank Balance-proprietory accounts	3,510,515	0	3,510,515
	ii. Bank balance-customer accounts	14,933,857	0	14,933,857
	iii. Cash in hand	18,257	0	18,257

for the month of 30-NOV-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 14-DEC-2021 09:41:50

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	87,401,991	47,419,795	40,054,586
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	230,823	0	230,823
	ii. Payable against leveraged market products	44.000.055		
2.2	iii. Payable to customers  Current Liabilities	14,933,857	0	14,933,857
2.2	i. Statutory and regulatory dues			
	ii. Accruals and other payables	233,969	0	233,969
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
2.3	ix. Other liabilities as per accounting principles and included in the financial statements  Non-Current Liabilities			
2.0	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.  iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	15,398,649	0	15,398,649
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
3.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing  The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)  exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of 30-NOV-21

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 14-DEC-2021 09:41:50

s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee&eller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	72,003,342	47,419,795	24,655,937

for the month of **31-DEC-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 11-JAN-2022 17:14:05

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	90,828	90,828	
1.2	Intangible Assets	2,500,000	2,500,000	
	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.  iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for			
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.			
	ii. If unlisted, 100% of net value.			
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	2,250,000	2,250,000	
	or any other entity.  Margin deposits with exchange and clearing house.	20,000,000	0	20,000,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	0	0	
	Other deposits and prepayments  Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	8,313,690	8,313,690	
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
	Amounts receivables against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.)  i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	167,500	0	167,500
1.13		107,500	O	107,300
1.1/	ii. Receivables other than trade receivables	34,000,000	34,000,000	
1.16	Receivables from clearing house or securities exchange(s)  i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
	markets including MtM gains.	O O	O	
4.47	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.	364,614	0	364,614
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	283,966	0	283,966
	iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	507,416	302,113	302,113
	value of securities purchased for customers and held in sub-accounts after applying VAR based	337,110	332,110	302,110
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	3,935,329	0	3,935,329
	ii. Bank balance-customer accounts iii. Cash in hand	22,658,516 4,127	0	22,658,516 4,127

for the month of **31-DEC-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 11-JAN-2022 17:14:05

S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	95,075,986	47,456,631	47,716,165
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers	22,658,516	0	22,658,516
2.2	Current Liabilities			
	i. Statutory and regulatory dues ii. Accruals and other payables	23,921	0	23,921
	iii. Short-term borrowings	20,021	· ·	20,021
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	ii. Subolulitated loars writeri do not fulfill the conditions specified by SLCF			
2.5	Total Liabilites	22,682,437	0	22,682,437
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
2.0	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
2.5	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

for the month of **31-DEC-21** 

of M/s. Prime Securities (Pvt.) Ltd.

Submission Date 11-JAN-2022 17:14:05

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
3.9	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security  Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
3.11	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.  Total Ranking Liabilities	0	0	
3.12	Liquid Capital	72,393,549	47,456,631	25,033,728